

**PATENT** 

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Argenbright

(SILV.P01)

Serial No. 09/910,964

Group Art Unit:

Filed: July 20, 2001

Examiner:

For: Online Investment Trust Creation and Management

## INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Dear Sir:

Applicant wishes to bring the reference listed on the enclosed PTO/SB/08 to the attention of the Patent and Trademark Office relative to this application.

No Office Action on the merits has yet been received by Applicant. Accordingly, the filing of this Statement is timely.<sup>1</sup>

A copy of the reference is enclosed. It is in the English language. As such, no additional statement of relevance is provided in this paper.<sup>2</sup>

By citing this reference, Applicants does not admit that it is, or is considered to be, material to the patentability of any of the claims of this application.<sup>3</sup>

<sup>&</sup>lt;sup>1</sup> 37 C.F.R. §1.97(b)(3).

<sup>&</sup>lt;sup>2</sup> 37 C.F.R. §1.98(a)(3)(i).

<sup>&</sup>lt;sup>3</sup> 37 C.F.R. §1.97(h).

Consideration of this information in the prosecution of this application is respectfully requested.

Respectfully submitted,

Rodney M. Anderson

Registry No. 31,939

Attorney for Applicant

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## CERTIFICATE OF MAILING 37 C.F.R. 1.8

The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

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on June 11, 2004.

Rodney M. Anderson Registry No. 31,939

PTO/SB/08A (08-03) Approved for use through 07/31/2006. OMB 0651-0031

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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JUN 1 4 2004

Sheet 1

(Use as many sheets as necessary)

Complete if Known				
Application Number	09/910,964			
Filing Date	07/20/2001			
First Named Inventor	Argenbright			
Art Unit	2161			
Examiner Name				
Attorney Docket Number	SILV.P01			

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2 (f known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		<sup>US-</sup> 6,484,147 B1	11/19/2002	Brizendine et al.	all
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		FORE	IGN PATENT DOCU	MENTS		_
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	Γ
		Country Code <sup>3 *</sup> Number <sup>4 *</sup> Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	, , , , , , , , , , , , , , , , , , , ,	Or Relevant Figures Appear	1
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the relgn of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, Including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.